ASMEX.320A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Pomarede et al.

Group Art Unit 2812

App. No.

10/074,722

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Filed

February 11, 2002

For

INTEGRATION OF HIGH K

GATE DIELECTRIC

Examiner

Unknown

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INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing three (3) references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: \$12

Bv:

Joseph J. Mallon

Registrat on No. 39,287

Attorney of Record

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OF RE | | APPLICATION NO. 10/074,722 | |
| INFORMATION DISCLOSURE STATEMENT | | | |
| BY APPLICANT | APPLICANT Pomarede et al. | | |
| (USE SEVERAL SHEETS IF NECESSARY) | FILING DATE February 11, 2002 | GROUP 2812 | |

| | | | | U.S. PATENT DOCUMENTS | | | | |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING (IF APPR | DATE OPRIATE) |
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| INITIAL | | | | | | | YES | NO |
| | | 10163485 | 19/06/98 | Japan | H01L | 29/78 | Abstract | |

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| | 10163485 | 19/06/98 | Japan | H01L | 29/78 | Abstract | |
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| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |
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App. No.

10/074,722

Filed

February 11, 2002

For

INTEGRATION OF HIGH K

GATE DIELECTRIC

Examiner

Ron Everett Pompey

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c)(2) before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: ______ 5 6 0 3

Registrat on No. 39,287

Attorney of Record Customer No. 20,995

(619) 235-8550

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| | BY APPLICANT | | | APPLICANT Pomarade et al. | | | | | | |
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| | | | | J.S. PATENT DOCUMENTS | | | Ţ. | | | |
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| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |
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